

DTOS Rec'd PCT/PTO 18 MAR 2005

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Carsten Herpel et al.  
U.S. Serial No. : 10/522,089  
U. S. Filed : January 21, 2005  
For : METHOD AND APPARATUS FOR STORING OR  
TRANSMITTING CODING PARAMETERS SEPARATELY  
FROM CODED AUDIO-VISUAL DATA  
Int'l Appln. No. : PCT/EP03/07561  
Int'l Filing Date : July 12, 2003  
Examiner : Unknown  
Art Unit : Unknown

INFORMATION DISCLOSURE STATEMENT

- ☒ 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]  
☐ 2 Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]  
☐ 3 Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]  
☐ 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
[before issue fee payment]

## MAIL STOP PCT

Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

The following are submitted in the above-identified application in compliance with  
37 CFR 1.97 & 1.98:

- ☒ 5 A list of documents on form PTO-1449 together with copies of each identified document  
and a translation or a concise explanation of each non-English language document is  
enclosed herewith.

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]  
☐ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever  
is earlier]; and  
☐ 8 The required certification made in item 11 below; or  
☐ 9 The \$240.00 fee specified in 37 CFR §1.17(p) for submission  
of this Information Disclosure Statement is authorized in  
item 14 below.

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☐ 10 37 CFR§ 1.97(d): [before issue fee payment]; and

- (a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g., ("Allowed Files, Batch J91, Date of Allowance July 31, 2001 ), and
- (b) The required Certification is stated in item 11 below.

☐ 11 Certification

☐ 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;

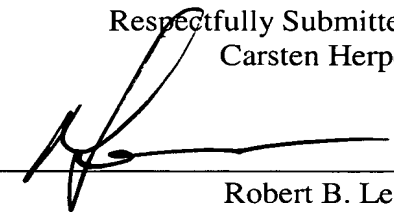
or

☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

☒ 14 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

3/18/2005

Respectfully Submitted,  
Carsten Herpel,

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Express Mail No. EV 4144 6 US

Doc # 20071  
Serial No. 10/522,089

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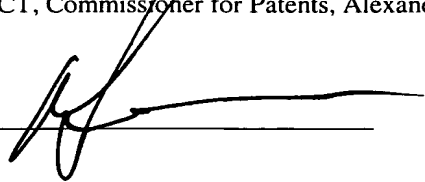
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3/18/05

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Substitute for form 1449A/PTO

*(Use as many sheets as necessary)*

**Complete if Known**

Application Number

Filing Date

First Named Inventor

Carsten Herpel et al.

**Art Unit**

**Examiner Name**

Attorney Docket Number

PD020071

Sheet

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of

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## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* Applicant's unique citation designation number (optional). \* See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. \* Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \* For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \* Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \* Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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